

**MANAGEMENT AND BUDGET COMMITTEE**

**THURSDAY, June 12, 2014 11:45 PM**

**Committee Members: Jonathan Rouis, Chair, Gene Benson, Vice Chair,  
Kitty Vetter, Cora Edwards, Ira Steingart**

**AGENDA**

**PRESENTATIONS: None**

**DISCUSSIONS: None**

**RESOLUTIONS:**

**AUDIT – None**

**COUNTY TREASURER – None**

**GRANTS- None**

**MANAGEMENT AND BUDGET –**

- 1. To modify the 2014 County Budget.**
- 2. To authorize Sullivan County Treasurer to enter into contracts with Bond Counsel and Financial Advisor.**

**MANAGEMENT INFORMATION SYSTEMS- None**

**PUBLIC COMMENT**

**RESOLUTION NO. INTRODUCED BY MANAGEMENT AND BUDGET  
COMMITTEE TO MODIFY THE 2014 COUNTY BUDGET**

**WHEREAS**, the County of Sullivan 2014 Budget requires modification,

**NOW, THEREFORE, BE IT RESOLVED**, that the attached budgetary transfers be authorized.

**Moved by:**

**Seconded by:**

June 2014  
 Modifications to the 2014 Sullivan County Budget

Account Code	Account Description	Revenue		Appropriation	
		Increase	Decrease	Increase	Decrease
A-1010-10-1011	PERSONAL SERV REGULAR PAY			12,500	
A-1010-40-4013	CONTRACT CONTRACT OTHER				15,944
A-1010-80-8001	EMPL BENFTS FICA AND MEDICARE			956	
A-1010-80-8005	EMPL BENFTS RETIREMENT			1,750	
A-1010-80-8006	EMPL BENFTS WORKERS COMPENSATION			625	
A-1010-80-8007	EMPL BENFTS DISABILITY			113	
A-1165-42-4203	OFFICE OFFICE SUPPLIES				65
A-1165-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE			65	
A-1165-47-4724	DEPT DRUG FORFEITURE PROCEEDS NYS			5,000	
A-1165-47-4784	DEPT DRUG FORFEITURE PROCEEDS - FED			22,000	
A-1165-R2626-R307	FORFEITR CRIME PROCDs STATE	5,000			
A-1165-R2626-R416	FORFEITR CRIME PROCDs FEDERAL	22,000			
A-1330-204-43-4301	COMPUTER SUPPLIES			500	
A-1330-204-45-4541	SPEC DEPT SUPPLY SM EQUIP TOOLS APPLNCS, SM ELECT			2,542	
A-1340-47-4710	DEPT DEPT MISC/OTHER				500
A-1340-R2210-R134	GEN SERV OTHR GOV CHARGBK - INTERDEPARTMNTL	2,542			
A-1410-10-45-4505	SPEC DEPT SUPPLY BLDG/PROP MAINTENANCE			11	
A-1410-10-45-4541	SPEC DEPT SUPPLY SM EQUIP TOOLS APPLNCS, SM ELECT				11
A-1410-11-42-4203	OFFICE OFFICE SUPPLIES				135
A-1410-11-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE			135	
A-1620-19-44-4402	UTILITY FUEL OIL			550	
A-1620-197-45-4505	SPEC DEPT SUPPLY BLDG/PROP MAINTENANCE			50	
A-1620-197-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE			200	
A-1620-197-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE				300
A-1620-21-45-4532	SPEC DEPT SUPPLY SEED/MULCH ETC				200
A-1620-21-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE			500	
A-1620-21-47-4766	DEPT CLEAN UP/BEAUTIFICATION			725	
A-1620-22-44-4404	UTILITY PROPANE				
A-1620-23-R1710-R247	PUBLIC WORKS CHARGE MISC FEE/REIMBURSMINT	750			
A-1620-24-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE				460
A-1620-24-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE				100
A-1620-24-47-4720	DEPT LABORATORY/XRAY EXPENSE			160	
A-1620-24-47-4766	DEPT CLEAN UP/BEAUTIFICATION			300	

June 2014

Modifications to the 2014 Sullivan County Budget

Account Code	Account Description	Revenue Increase	Revenue Decrease	Appropriation Increase	Appropriation Decrease
A-1620-24-47-4766	DEPT CLEAN UP/BEAUTIFICATION			100	
A-1620-26-44-4402	UTILITY FUEL OIL				725
A-1620-26-45-4505	SPEC DEPT SUPPLY BLDG/PROP MAINTENANCE				200
A-1620-26-45-4526	SPEC DEPT SUPPLY PAINT			200	
A-3010-45-4506	SPEC DEPT SUPPLY PUBLIC SAFETY			428	
A-3010-45-4541	SPEC DEPT SUPPLY SM EQUIP TOOLS APPLNCS, SM ELECT				6,725
A-3010-47-4707	DEPT MAINTENANCE IN LIEU OF RENT				20,000
A-3110-29-21-2103	FIXED MACHINERY/EQUIPMENT				
A-3110-29-21-2105	FIXED AUTOMOTIVE EQUIP			20,000	
A-3110-29-21-2106	FIXED ELECTRONIC/COMPUTER EQUIP				10,000
A-3110-29-45-4506	SPEC DEPT SUPPLY PUBLIC SAFETY				400
A-3110-29-45-4541	SPEC DEPT SUPPLY SM EQUIP TOOLS APPLNCS, SM ELECT			10,000	
A-3110-29-46-4611	MISC SERV/EXP EMPL SAFETY/PHYSICAL EXAMS			1,000	
A-3110-29-47-4706	DEPT SPECL INVESTIGATIONS			1,000	
A-3110-29-47-4744	DEPT CANINE UNIT				1,000
A-3110-29-47-4744	DEPT CANINE UNIT				1,000
A-3110-29-47-4745	DEPT ALCOHOL/DRUG TESTING			400	
A-3110-30-42-4203	OFFICE OFFICE SUPPLIES				100
A-3110-30-45-4541	SPEC DEPT SUPPLY SM EQUIP TOOLS APPLNCS, SM ELECT			100	
A-3150-42-4203	OFFICE OFFICE SUPPLIES				50
A-3150-42-4207	OFFICE FURNITURE			50	
A-3150-45-4505	SPEC DEPT SUPPLY BLDG/PROP MAINTENANCE				2,300
A-3150-45-4506	SPEC DEPT SUPPLY PUBLIC SAFETY				200
A-3150-45-4526	SPEC DEPT SUPPLY PAINT			2,300	
A-3150-45-4549	SPEC DEPT SUPPLY SAFETY			200	
A-3315-45-4506	SPEC DEPT SUPPLY PUBLIC SAFETY			708	
A-3315-45-4541	SPEC DEPT SUPPLY SM EQUIP TOOLS APPLNCS, SM ELECT			2,120	
A-3315-47-4752	DEPT MISC PROGRAM EXP				2,120
A-3315-47-4752	DEPT MISC PROGRAM EXP				708
A-3410-47-4707	DEPT MAINTENANCE IN LIEU OF RENT			6,725	
A-4010-44-45-4501	SPEC DEPT SUPPLY SPEC DEPT SUPPLY MISC/OTHER				120
A-4010-44-45-4541	SPEC DEPT SUPPLY SM EQUIP TOOLS APPLNCS, SM ELECT			120	
A-4050-45-4501	SPEC DEPT SUPPLY SPEC DEPT SUPPLY MISC/OTHER				376

June 2014  
 Modifications to the 2014 Sullivan County Budget

Account Code	Account Description	Revenue Increase	Revenue Decrease	Appropriation Increase	Appropriation Decrease
A-4050-46-4603	MISC SERV/EXP EMPL UNIFORM ALLOWANCE			376	
A-4082-10-1011	PERSONAL SERV REGULAR PAY				2,000
A-4082-41-4102	AUTO/TRAVEL LODGING			600	
A-4082-41-4102	AUTO/TRAVEL LODGING			2,000	
A-4082-45-4501	SPEC DEPT SUPPLY SPEC DEPT SUPPLY MISC/OTHER				600
A-4310-42-4209	OFFICE OFFICE OTHER			200	200
A-4310-45-4505	SPEC DEPT SUPPLY BLDG/PROP MAINTENANCE				60
A-4320-41-44-4406	UTILITY WIRELESS COMMUNICATIONS			60	
A-4320-43-44-4406	UTILITY WIRELESS COMMUNICATIONS			125	
A-5610-44-4404	UTILITY PROPANE			20	
A-5610-45-4501	SPEC DEPT SUPPLY SPEC DEPT SUPPLY MISC/OTHER				125
A-5610-45-4505	SPEC DEPT SUPPLY BLDG/PROP MAINTENANCE				500
A-5610-45-4505	SPEC DEPT SUPPLY BLDG/PROP MAINTENANCE			500	
A-5610-45-4541	SPEC DEPT SUPPLY SM EQUIP TOOLS APPLNCS, SM ELECT				20
A-5610-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE				110
A-6010-38-47-4702	DEPT EQUIP SERVICE/REPAIRS			110	
A-6010-38-47-4740	DEPT MEDICAL - OUTPATIENT SERVICES				110
A-6293-42-4207	OFFICE FURNITURE			3,900	
A-6293-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE			6	6
A-6293-47-4760	DEPT CLIENT EXPENSES				3,900
A-6293-47-4760	DEPT CLIENT EXPENSES				3,900
A-7110-82-45-4527	SPEC DEPT SUPPLY MISC STONE			550	
A-7110-82-45-4532	SPEC DEPT SUPPLY SEED/MULCH ETC				300
A-7110-82-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE			10	250
A-7110-83-45-4505	SPEC DEPT SUPPLY BLDG/PROP MAINTENANCE				10
A-7110-83-45-4527	SPEC DEPT SUPPLY MISC STONE			10	
A-7110-86-45-4505	SPEC DEPT SUPPLY BLDG/PROP MAINTENANCE				10
A-7110-86-45-4532	SPEC DEPT SUPPLY SEED/MULCH ETC				10
A-7520-45-4541	SPEC DEPT SUPPLY SM EQUIP TOOLS APPLNCS, SM ELECT			75	
A-7520-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE				75
	<b>General Fund Total</b>	<b>30,292</b>	<b>-</b>	<b>102,675</b>	<b>72,383</b>
CL-8160-44-4404	UTILITY PROPANE			1,000	

June 2014  
 Modifications to the 2014 Sullivan County Budget

Account Code	Account Description	Revenue		Revenue		Appropriation	
		Increase	Decrease	Increase	Decrease	Increase	Decrease
CL-8160-47-4767	DEPT NYS/US REGISTRY FEES/FINES/ASSESS	-	-	1,000	-	-	1,000
	<b>Solid Waste Fund Total</b>			<b>1,000</b>			<b>1,000</b>
D-3310-45-4512	SPEC DEPT SUPPLY GLASS BEADS					100	
D-3310-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE					100	
D-5110-45-45-4501	SPEC DEPT SUPPLY SPEC DEPT SUPPLY MISC/OTHER					375	
D-5110-45-45-4501	SPEC DEPT SUPPLY SPEC DEPT SUPPLY MISC/OTHER					8,100	
D-5110-45-45-4505	SPEC DEPT SUPPLY BLDG/PROP MAINTENANCE						375
D-5110-45-45-4531	SPEC DEPT SUPPLY WATERPROOFING						8,100
D-5110-47-40-4038	CONTRACT CONSTRUCTION					290,000	
D-9998-R3501-R120	ST AID CONSOLIDTD HGHWY CAPITAL	290,000					
	<b>Road Fund Total</b>			<b>290,000</b>		<b>298,575</b>	<b>8,575</b>
DM-5130-48-41-4101	AUTO/TRAVEL GASOLINE EXPENSE					50	
DM-5130-48-41-4104	AUTO/TRAVEL MILEAGE/TOLLS					50	
DM-5130-48-45-4526	SPEC DEPT SUPPLY PAINT					60	
DM-5130-48-47-4717	DEPT BLDG/PROP/EQUIP REPAIRS&MAINTNCE						60
	<b>Road Machinery Fund Total</b>					<b>110</b>	<b>110</b>

**COMBINED: LEGISLATIVE MEMORANDUM,  
CERTIFICATE OF AVAILABILITY OF FUNDS  
AND RESOLUTION COVER MEMO**

**To:** Sullivan County Legislature

**Fr:** Management and Budget

**Re:** Request for Consideration of a Resolution: Enter into contracts with Bond Council and Financial Advisor

**Date:**

**Purpose of Resolution:** [Provide a detailed statement of what the Resolution will accomplish, as well as a justification for approval by the Sullivan County Legislature.]

To authorize the County Treasurer to execute agreements with bond counsel and a Financial Advisor to cover all bond and note sales and related financial services form August 2014 through July 2016

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**Is subject of Resolution mandated? Explain:**

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**Does Resolution require expenditure of funds? Yes \_\_\_ No**

**If "Yes, provide the following information:**

Amount to be authorized by Resolution: \$ \_\_\_\_\_

Are funds already budgeted? Yes \_\_\_ No \_\_\_

If "Yes" specify appropriation code(s): \_\_\_\_\_

If "No", specify proposed source of funds: \_\_\_\_\_

**Estimated Cost Breakdown by Source:**

County	\$ _____	Grant(s)	\$ _____
State	\$ _____	Other	\$ _____
Federal Government	\$ _____	(Specify)	_____

**Verified by Budget Office:**  \_\_\_\_\_

**Does Resolution request Authority to Enter into a Contract? Yes \_\_\_ No \_\_\_**

**If "Yes", provide information requested on Pages 2. If "NO", please go straight to Page 3 and acquire all pre-legislative approvals.**

Request for Authority to Enter into Contract with [ \_\_\_\_\_ ] of [ \_\_\_\_\_ ]

Nature of Other Party to Contract: .

Other:

Duration of Contract: From \_\_\_\_\_ To \_\_\_\_\_

Is this a renewal of a prior Contract? Yes \_\_\_ No \_\_\_\_\_

If "Yes" provide the following information:

Dates of prior contract(s): From \_\_\_\_\_ To \_\_\_\_\_

Amount authorized by prior contract(s): \_\_\_\_\_

Resolutions authorizing prior contracts (Resolution #s): \_\_\_\_\_

Future Renewal Options if any:

Is Subject of Contract – i.e. – the goods and/or services Mandated? Yes \_\_\_ No \_\_\_

If "Yes" cite the mandate's source; describe how this contract satisfies the requirements:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

If "No" provide other justification for County to enter into this Contract: [County does not have resources in-house, best source of the subject materials, required by grant, etc.]:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Total Contract Cost for [year or contract period]: (If specific sum is not known state maximum potential cost): \_\_\_\_\_

Efforts made to find Less Costly alternative:

\_\_\_\_\_  
\_\_\_\_\_

Efforts made to share costs with another agency or governmental entity:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Specify Compliance with Procurement Procedures (Bid, Request for Proposal, Quote, etc.)

\_\_\_\_\_ *Not Applicable gdf*

Person(s) responsible for monitoring contract (Title): \_\_\_\_\_



**Pre-Legislative Approvals:**

- A. Director of Purchasing: Kathy Jones Date 6/11/14
- B. Management and Budget: Jane Myers Date 6/11/14
- C. Law Department: S. Gassoff Date \_\_\_\_\_
- D. County Manager: John Roth Date 6/11/14
- E. Other as Required: \_\_\_\_\_ Date \_\_\_\_\_

Vetted in \_\_\_\_\_ Committee on \_\_\_\_\_

**RESOLUTION NO. INTRODUCED BY MANAGEMENT AND BUDGET COMMITTEE TO AUTHORIZE SULLIVAN COUNTY TREASURER TO ENTER INTO CONTRACTS WITH BOND COUNSEL AND FINANCIAL ADVISOR**

**WHEREAS**, the Sullivan County Treasurer has always had the assistance and advice of bond counsel and a financial advisor for the sale of bonds and notes on behalf of the County, and

**WHEREAS**, the County Auditor requires that the County Treasurer execute written agreements with said professionals in order to facilitate approval of their compensation following each sale, and

**WHEREAS**, bond and note sales will likely continue annually in one degree or another.

**NOW, THEREFORE, BE IT RESOLVED**, the County Treasurer is hereby authorized to execute agreements with bond counsel and a Financial Advisor to cover all bond and note sales and related financial services from August, 2014 through July, 2016.

**Moved by:**  
**Seconded by:**